

Mirae Asset Mutual Fund

Details of Votes cast during the quarter ended December 31, 2019

Meeting Date	Company Name	Type of Meeting	Proposal by Management or Shareholder	Proposal's Description	Investee company's Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
07-Oct-2019	DIVI'S LABORATORIES LTD.	Postal Ballot	Management	Reappoint Dr. Murali K. Divi (DIN: 00005040) as Managing Director for a period of 5 years from 10 October 2019 and fix his remuneration	For	For	As per internal voting guidelines.
07-Oct-2019	DIVI'S LABORATORIES LTD.	Postal Ballot	Management	Reappoint N. V. Ramana (DIN: 00005031) as a Wholetime Director for a period of 5 years from 26 December 2019 and fix his remuneration	For	For	As per internal voting guidelines.
23-Oct-2019	BAJAJ FINANCE LTD.	Postal Ballot	Management	To issue securities to Qualified Institutional Buyers (QIB) through Qualified Institutional Placement (QIP) for an amount not exceeding Rs. 85.0 bn	For	For	In line with internal voting guidelines.
24-Oct-2019	IPCA LABORATORIES LTD.	EGM	Management	Approve preferential allotment of 0.5 mn fully convertible warrants aggregating up to Rs. 447.5 mn to promoter entities	For	For	In line with internal voting guidelines.
30-Oct-2019	TATA CHEMICALS LTD.	NCM	Management	To approve scheme of arrangement between Tata Chemicals Ltd and Tata Global Beverages Ltd	For	For	In line with internal voting guidelines.
30-Oct-2019	SANOFI INDIA LTD.	Postal Ballot	Management	Approve slump sale of the Ankleshwar facility to Zentiva Private Limited (Zentiva) for a consideration of Rs. 2,617 mn	For	Against	As per internal voting guidelines.
02-Nov-2019	RAYMOND LTD.	Postal Ballot	Management	Reappoint Gautam Singhania (DIN: 00020088) as Managing Director for a term of five years from 1 July 2019 to 30 June 2024 and fix his remuneration for a term of three years	For	For	In line with internal voting guidelines.
02-Nov-2019	RAYMOND LTD.	Postal Ballot	Management	Approve reclassification of authorised share capital and subsequent alteration of capital clause of Memorandum of Association (MOA)	For	For	In line with internal voting guidelines.

02-Nov-2019	RAYMOND LTD.	Postal Ballot	Management	Appoint Dinesh Lal (DIN: 00037142) as an Independent Director for a term of five years from 1 August 2019 to 31 July 2024	For	For	In line with internal voting guidelines.
02-Nov-2019	RAYMOND LTD.	Postal Ballot	Management	Appoint Ms. Mukeeta Jhaveri (DIN: 00709997) as an Independent Director for a term of five years from 1 August 2019 to 31 July 2024	For	For	In line with internal voting guidelines.
02-Nov-2019	RAYMOND LTD.	Postal Ballot	Management	Approve giving guarantees and providing security for loans to a joint venture company viz. Raymond UCO Denim Private Limited (RUDPL), of upto Rs. 250.0 mn	For	For	In line with internal voting guidelines.
03-Nov-2019	KAVERI SEED CO. LTD.	Postal Ballot	Management	Approve buyback of up to 2.8 mn equity shares at a maximum price of Rs. 700.0 per share through a tender offer	For	Abstain / No Vote	No vote
03-Nov-2019	KAVERI SEED CO. LTD.	Postal Ballot	Management	Approve continuation of G. V. Bhaskar Rao (DIN: 00892232) as Chairperson and Managing Director from 5 June 2020 to 15 November 2021	For	Abstain / No Vote	No vote
04-Nov-2019	TATA GLOBAL BEVERAGES LTD.	NCM	Management	To approve scheme of amalgamation between Tata Global Beverages Ltd and Tata Chemicals Ltd	For	For	In line with internal voting guidelines.
05-Nov-2019	RAYMOND LTD.	EGM	Management	Approve giving guarantees and providing security for loans to J.K. Investo Trade (India) Limited (JKIT), an associate company of Raymond Ltd. of upto Rs. 2.0 bn	For	For	In line with internal voting guidelines.
13-Nov-2019	INDIAN BANK	Postal Ballot	Management	Issue equity shares aggregating to Rs 25.3 bn to the Government of India (GoI) on a preferential basis	For	For	In line with internal voting guidelines.
22-Nov-2019	TATA MOTORS LTD - DVR	EGM	Management	Approve issuance of 201.6 mn equity shares and 231.3 mn convertible warrants at Rs. 150 per each to Tata Sons Private Limited (promoter) on a preferential basis, to raise Rs. 65.0 bn in aggregate	For	For	In line with internal voting guidelines.

22-Nov-2019	TATA MOTORS LTD.	EGM	Management	Approve issuance of 201.6 mn equity shares and 231.3 mn convertible warrants at Rs. 150 per each to Tata Sons Private Limited (promoter) on a preferential basis, to raise Rs. 65.0 bn in aggregate	For	For	In line with internal voting guidelines.
29-Nov-2019	H C L TECHNOLOGIES LTD.	Postal Ballot	Management	Increase in authorized share capital and subsequent alteration of capital clause of Memorandum of Association	For	For	In line with internal voting guidelines.
29-Nov-2019	H C L TECHNOLOGIES LTD.	Postal Ballot	Management	Approve issue of bonus shares in the ratio of one bonus share for every share held (ratio of 1:1)	For	For	In line with internal voting guidelines.
30-Nov-2019	AUROBINDO PHARMA LTD.	NCM	Management	Approve merger of APL Research Centre Ltd. (ARCL), Aurozymes Ltd. (AZL), Curepro Parenterals Ltd. (CPL), Hyacinths Pharma Pvt Ltd. (HPL), Silicon Life Sciences Pvt. Ltd. (SLSPL) and APL Healthcare Ltd. (AHL), wholly owned direct or indirect subsidiaries, with Aurobindo Pharma Limited (APL)	For	For	As per internal voting guidelines.
02-Dec-2019	RAYMOND LTD.	EGM	Management	Issue Equity Shares and Compulsory Convertible Preference Shares on a preferential basis to J. K. Investo Trade (India) Limited (JKIT)	For	For	In line with internal voting guidelines.
06-Dec-2019	VEDANTA LTD.	Postal Ballot	Management	Approve continuation of Krishnamurthi Venkataramanan as an Independent Director on attainment of 75 years of age on 11 December 2019	For	For	As per internal voting policy.
06-Dec-2019	VEDANTA LTD.	Postal Ballot	Management	Reappoint Krishnamurthi Venkataramanan (DIN: 00001647) as an Independent Director for a period of one year w.e.f. 1 April 2020	For	For	As per internal voting policy.
08-Dec-2019	MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD.	Postal Ballot	Management	Appoint Arvind V. Sonde (DIN: 00053834) as Independent Director for five years w.e.f. 9 December 2019 up to 8 December 2024	For	For	In line with internal voting policy.

08-Dec-2019	MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD.	Postal Ballot	Management	Reappoint Chandrashekhar Bhave (DIN: 00059856) as Independent Director for five years w.e.f. 3 February 2020 up to 2 February 2025	For	For	In line with internal voting policy.
08-Dec-2019	MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD.	Postal Ballot	Management	Approve revision in the remuneration payable to Ramesh Iyer (DIN: 00220759) as Vice-Chairperson & MD w.e.f. 1 April 2020 up to 29 April 2021	For	For	In line with internal voting policy.
09-Dec-2019	LUPIN LTD.	EGM	Management	Divestment of entire shareholding in Kyowa Pharmaceutical Industry Co. Ltd (Kyowa), held through Nanomi B.V (Nanomi) to Plutus Ltd., Japan (Unison) for a consideration of JPY 57,361 million	For	For	As per internal voting guidelines.
16-Dec-2019	J K CEMENT LTD.	Postal Ballot	Management	Appoint Sudhir Jalan (DIN: 00111118) as Non-Executive Non-Independent Director	For	For	In line with internal voting guidelines.
25-Dec-2019	VINATI ORGANICS LTD.	Postal Ballot	Management	Approve sub-division of equity shares from one share of face value of Rs. 2.0 per share to two shares of face value of Re. 1.0 per share	For	For	As per the internal voting guidelines.
25-Dec-2019	VINATI ORGANICS LTD.	Postal Ballot	Management	Alter Capital Clause V. of Memorandum of Association to accommodate the subdivision of equity shares	For	For	As per the internal voting policy.
25-Dec-2019	VINATI ORGANICS LTD.	Postal Ballot	Management	Alter Article 6 of Articles of Association to accommodate the subdivision of equity shares	For	For	As per the internal voting guidelines.
25-Dec-2019	VINATI ORGANICS LTD.	Postal Ballot	Management	Approve VOL Employee Stock Option Plan 2019 (ESOP 2019/ Plan)	For	For	As per the internal voting guidelines.
27-Dec-2019	RBL BANK LTD	EGM	Management	Issue and allot 19.83 mn equity shares on preferential basis at Rs 340.7 per share to four investors to raise Rs 6.76 bn	For	For	In line with internal voting policy.
27-Dec-2019	RBL BANK LTD	EGM	Management	Issue and allot 4.40 mn equity shares on preferential basis at Rs 340.7 per share to Bajaj Finance Ltd. to raise Rs 1.50 bn	For	Abstain / No Vote	No Vote

29-Dec-2019	RAYMOND LTD.	Postal Ballot	Management	Reappoint Ishwar Das Agarwal (DIN: 00293784) as Independent Director for a period of two years from 1 January 2020 to 31 December 2021	For	For	In line with internal voting policy.
29-Dec-2019	RAYMOND LTD.	Postal Ballot	Management	Reappoint Pradeep Guha (DIN: 00180427) as Independent Director for a period of two years from 1 January 2020 to 31 December 2021	For	For	In line with internal voting policy.
29-Dec-2019	RAYMOND LTD.	Postal Ballot	Management	Appoint Ashish Kapadia (DIN: 02011632) as Independent Director for a term of five years from 26 November 2019 to 25 November 2024	For	For	In line with internal voting policy.